File13F.ai Cyber Security Information

Current as of September 22, 2026 | File13F.ai | https://file13f.ai Contact: support@file13f.com | 1-844-844-3453

1. Purpose and current product scope

This document describes the current File13F.ai public website, browser-based Form 13F preparation preview, Lady Morgan service chat, EDGAR operating-status display, and delegation-status check. It is a vendor-provided description, not an independent security audit, certification, legal opinion, or completed assessment for a client firm.

The current preview helps a user parse holdings files, enter filing details, review a watermarked information preview, and check whether the filer associated with an entered CIK has delegated filing authority to File13F in EDGAR. Live EDGAR transmission and live payment processing are disabled. The preview does not create an EDGAR filing receipt or SEC acceptance.

File13F.ai is intended for aggregated Form 13F position information. Do not include underlying client names, account numbers, Social Security numbers, passwords, MFA codes, recovery secrets, government IDs, payment-card data, or unrelated confidential information.

2. Holdings and filing data in the current preview

- Browser-local processing. Files selected on the /start/ page are read and parsed in the user's browser. In the current preview, File13F.ai does not upload the selected holdings files, their filenames, parsed position rows, signer details, report period, or package digest to File13F servers.
- Temporary browser state. The filing workflow keeps current draft data in browser memory. Reloading or closing the page can clear the draft. Users should not treat the preview as a records archive.
- Limited network exception for delegation. When the user chooses Check delegation, the entered CIK is sent over HTTPS to the File13F Cloudflare Worker and then to the SEC Filer Management API to perform the requested read-only check. No CCC, EDGAR password, MFA code, or recovery secret is requested or transmitted by this check.
- Public filing boundary. A Form 13F filing becomes public through SEC EDGAR after acceptance. The browser preview itself is not a filing and does not publish the selected source file.

Browser-local processing reduces server-side collection but does not eliminate risk. A compromised device, browser, extension, or network endpoint may still expose information displayed or processed on that device.

3. Networked services and information transmitted

Public website and preparation preview

Netlify hosts the public File13F.ai pages and static application assets. The current site does not load analytics. HTTPS, restrictive browser security headers, no-framing controls, content-type protections, and a scoped Content Security Policy are configured for the site. The /start/ path is served with no-store and noindex instructions.

Lady Morgan service chat

The optional Lady Morgan chat sends the visitor's name, email address, and chat messages to a Cloudflare Worker over HTTPS. Those chat records are stored in Cloudflare D1 for up to 30 days under the current configuration and are removed by a scheduled expiration process. The signed browser session token expires after 24 hours; that token lifetime is separate from the chat-record retention period. Provider recovery records or operational logs may follow separate provider retention practices, so expiration should not be described as certified instantaneous erasure of every copy.

Chat messages may be processed by Cloudflare Workers AI to generate a bounded File13F service response. The chat is designed to reject requests for credentials, holdings, payment data, and personal secrets. Visitors should still avoid putting sensitive client or account information into chat.

SEC status and delegation checks

The top-right EDGAR indicator asks a server-side File13F Worker to read the SEC EDGAR Operational Status API. SEC credentials remain server-side. Green means the SEC status response indicates an accepting operating window; red means closed, unavailable, or not verified as ready. A green indicator does not guarantee that a particular filing or delegation request will succeed.

The delegation check is a read-only request against the File13F filer account's delegation roster. The SEC Filer Management API may be unavailable outside its operating hours. An unavailable result does not mean a delegation is absent. File13F.ai does not create, accept, or remove a client's delegation; the client performs those actions inside the client's EDGAR account.

4. Access, validation, and browser safeguards

- Connections use HTTPS.
- Cross-origin access to the File13F chat and status Worker is limited to approved File13F.ai origins.
- Chat sessions use signed, expiring bearer tokens and server-side session records.
- Request bodies, message length, contact fields, CIK format, and per-session chat volume are limited and validated.
- Worker responses use `Cache-Control: no-store` and `X-Content-Type-Options: nosniff` headers.
- The website blocks framing and restricts scripts, styles, images, forms, objects, and network destinations through its Content Security Policy.
- The current application does not request access to the camera, microphone, geolocation, payment handlers, or USB devices.
- Delegation and EDGAR status features are read-only. They do not authorize or transmit a filing.

5. Technology and responsibility boundaries

- Netlify hosts the File13F.ai public website and browser application.
- Cloudflare Workers runs the optional chat, EDGAR status proxy, and delegation-status proxy.
- Cloudflare D1 stores chat sessions, contact details, and chat messages subject to the configured expiration process.
- Cloudflare Workers AI may process eligible chat history and the current service question.
- The SEC EDGAR APIs supply operating status and delegation data used by the read-only checks.
- The user's browser reads selected holdings files and renders the current review preview.

These statements describe the verified current File13F.ai implementation. They are not a complete inventory of every vendor used in File13F business operations, employee devices, email, or later human service work. Provider certifications and service commitments do not automatically certify File13F.ai as a whole.

6. Data minimization and user responsibilities

Use only the minimum information needed for Form 13F preparation. Source files should contain security identifiers, quantities, and values rather than underlying client-identifying records. Review filenames, hidden worksheets, comments, formulas, document properties, and other workbook content before selecting a file.

Do not place credentials or personal secrets in the filing form or Lady Morgan chat. File13F.ai will not ask for an EDGAR password, MFA code, recovery code, or CCC in the public browser workflow. If a future filing connector is activated, its current security description and authorization controls should be reviewed before use.

Each firm remains responsible for its own vendor review, access controls, endpoint security, record retention, incident response, and legal or regulatory conclusions. File13F.ai does not determine whether particular information is customer information under Regulation S-P or whether a firm has satisfied its obligations.

7. Current limitations

No system can eliminate all security risk. This document makes no claim of SOC 2 certification, completed independent penetration testing, zero-knowledge or end-to-end encryption, guaranteed data residency, guaranteed malware detection, or SEC review or approval of File13F.ai.

The current public workflow is a preview. LIVE filing and live payment are disabled. A displayed preview, green EDGAR circle, delegation result, package digest, or successful browser validation is not an SEC filing receipt or acceptance.

Questions about data handling or a suspected security issue should be directed to support@file13f.com or 1-844-844-3453. Do not send passwords, authorization codes, or client-account identifiers in an ordinary email inquiry.

Supporting references

Sources reviewed September 22, 2026:

- File13F.ai: https://file13f.ai
- SEC Regulation S-P final amendments, Release Nos. 34-100155, IA-6604, and IC-35193: https://www.sec.gov/files/rules/final/2024/34-100155.pdf
- SEC EDGAR Filer API overview: https://www.sec.gov/files/edgar/filer-information/api-overview.pdf
- Cloudflare D1 data security: https://developers.cloudflare.com/d1/reference/data-security/
- Cloudflare Workers security model: https://developers.cloudflare.com/workers/reference/security-model/
- Netlify security and compliance: https://www.netlify.com/security/